

# Mass as a Dynamical Variable

XXIV Bled Workshop  
What Comes Beyond the Standard Models?

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# Fixed Particle Masses — Axiom or Convenience?

Origins: electron as first elementary particle

## J. J. Thomson cathode ray experiments (1897)

Cathode rays = beam of discrete particles with fixed  $e/m$

## R. Millikan and H. Fletcher oil-drop experiment (1909)

Minimum electron charge  $\rightarrow$  fixed electron mass

## Particle Data Group (2020)

Variation in measured mass:  $\Delta m_e \simeq 10^{-8}$

Convention: treat one particle mass  $m$  as fixed by *a priori* constraint

$$m \frac{du^\mu}{d\tau} = eF^{\mu\nu} u_\nu \quad (i\partial - e\mathcal{A} - m) \psi = 0 \quad d^4 p \delta(\underbrace{p^\mu p_\mu + m^2}_{\text{mass shell}}) = \frac{d^3 \mathbf{p}}{2\sqrt{\mathbf{p}^2 + m^2}}$$
$$\eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$$

# Fixed Particle Masses — Axiom or Convenience?

Complications from the Standard Model

## Higgs mechanism

Elementary particles  $\sim$  massless asymptotic states

$$\bar{\psi} (i\cancel{\partial} - f\mathcal{G}auge) \psi$$

Particle masses  $\longleftarrow$  interactions with Higgs field

$$m = f \langle \text{Higgs} \rangle_0$$

## Fixed masses $\in$ effective theories

Some one-particle masses sharper than others (PDG 2020)

$\Delta m \sim 10^{-10}$  for composite  $p, n$

$\Delta m \sim 25\%$  for constituent  $u, d$  quarks

## Holding masses fixed $\longleftrightarrow$ issues and quirks

Constrained mechanics

Problem of time

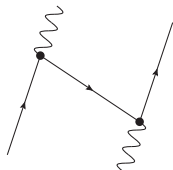
Flavor oscillations

Missing mass / energy

# Stueckelberg-Feynman Antiparticle

Particle propagates backward in time

## Feynman diagram in QED (1948)



### Interaction vertex

Virtual  $e^- (E > 0) \longrightarrow e^- (E < 0) + \gamma$

Appears in laboratory as  $e^- \longrightarrow e^+ + \gamma$

Future timelike trajectory  $\longrightarrow$  past timelike trajectory

## Fock particle trajectory $x^\mu (\tau)$ (1937)

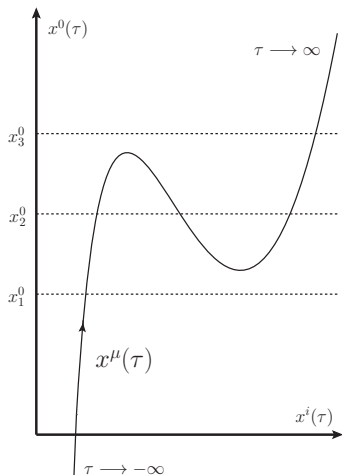
$$-\dot{x}^2 = \left( c \frac{dt}{d\tau}, \frac{d\mathbf{x}}{d\tau} \right)^2 = - \left( c \frac{dt}{d\tau} \right)^2 \left( 1, \frac{1}{c} \frac{d\mathbf{x}}{dt} \right)^2 = c^2 \dot{t}^2 \left( 1 - \frac{\mathbf{v}^2}{c^2} \right)$$

## Mass shell constraint

Fix timelike trajectory  $-M\dot{x}^2 = Mc^2 \longrightarrow \frac{dt}{d\tau} = \dot{t} = \pm \frac{1}{\sqrt{1 - \frac{\mathbf{v}^2}{c^2}}}$

# Stueckelberg-Feynman Antiparticle

Particle evolves backward in time



## Stueckelberg trajectory in relativistic classical mechanics (1941)

$x^\mu(\tau)$ ,  $\dot{x}^\mu(\tau)$  all independent

Pair processes

Continuous evolution

$$\dot{t} > 0 \longrightarrow \dot{t} < 0$$

Somewhere  $\dot{t} = 0$

$\dot{x}^\mu$  crosses spacelike lightcone

$$\dot{x}^2(\tau) = \dot{x}^\mu \dot{x}_\mu \text{ **dynamical**}$$

External  $\tau \neq$  proper time

$\dot{x}^2$  changes sign

$$ds = \sqrt{-\dot{x}^2} d\tau \text{ not meaningful}$$

## Stueckelberg's proposed classical Lorentz force

$$D_\tau (M\dot{x}^\mu) = M \left( \ddot{x}^\mu + \Gamma_{\nu\rho}^\mu \dot{x}^\nu \dot{x}^\rho \right) = eF^{\mu\nu} g_{\nu\rho} \dot{x}^\rho + G^\mu$$

Usual metric  $g_{\mu\nu}(x) \xrightarrow{\text{flat}} \text{diag}(-1, 1, 1, 1)$  and connection  $\Gamma_{\nu\rho}^\mu$  for  $\mu, \nu, \rho = 0, \dots, 3$

## Classical off-shell propagation

$$\frac{d}{d\tau} \left( \frac{1}{2} M \dot{x}^2 \right) = M \dot{x}_\mu D_\tau \dot{x}^\mu = e \dot{x}_\mu F^{\mu\nu} \dot{x}_\nu + \dot{x}_\mu G^\mu = \dot{x}_\mu G^\mu$$

$$G^\mu = 0 \longrightarrow \frac{1}{2} M \dot{x}^2 = \text{constant}$$

Mass shell constraint  $\longrightarrow$  conservation law (for standard electrodynamics)

What could be source for  $G^\mu$ ?

# Covariant Canonical Mechanics

Physical picture

Upgrade nonrelativistic classical and quantum mechanics

$$\left. \begin{array}{l} \text{Newtonian time } t \\ + \\ \text{Unconstrained } \left\{ x^i, \frac{dx^j}{dt} \right\} \\ + \\ \text{Galilean symmetry} \\ + \\ \text{Scalar Hamiltonian } H \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{External time } \tau \\ + \\ \text{Unconstrained } \left\{ x^\mu, \frac{dx^\nu}{d\tau} \right\} \\ + \\ \text{Poincaré symmetry} \\ + \\ \text{Scalar Hamiltonian } K \end{array} \right.$$

Inherit nonrelativistic methods and insights

$$\frac{\partial H}{\partial t} = 0 \Rightarrow \text{energy conserved} \quad \longrightarrow \quad \frac{\partial K}{\partial \tau} = 0 \Rightarrow \text{mass conserved}$$

Free particle

$$K = \frac{1}{2M} p^\mu p_\mu \quad \longrightarrow \quad \dot{x}^\mu = \frac{p^\mu}{M}, \quad \dot{p}^\mu = 0 \quad \longrightarrow \quad \dot{x}^2 = \text{constant}$$

# Covariant Canonical Mechanics

Geometry and evolution

## Physical spacetime event $x^\mu(\tau)$

Irreversible occurrence **at** time  $\tau$

$$\tau_2 > \tau_1 \implies \left\{ \begin{array}{l} x^\mu(\tau_2) \text{ occurs } \mathbf{after} \ x^\mu(\tau_1) \\ x^\mu(\tau_2) \text{ } \mathbf{cannot change} \ x^\mu(\tau_1) \\ \text{No grandfather paradox} \end{array} \right.$$

## Evolution

4D block universe  $\mathcal{M}(\tau)$  **occurs** at  $\tau$

Infinitesimally close 4D block universe  $\mathcal{M}(\tau + d\tau)$  occurs at  $\tau + d\tau$

$$\mathcal{M}(\tau) \xrightarrow{\text{Hamiltonian } K \text{ generates evolution in } \tau} \mathcal{M}(\tau + d\tau)$$

$$\left. \begin{array}{l} \text{scalar } K \\ \text{external } \tau \end{array} \right\} \implies \text{No conflict with general diffeomorphism invariance}$$



# Overview of Talk

## Classical electrodynamics

Five  $\tau$ -dependent potentials  $A_\mu(x) \longrightarrow a_\alpha(x, \tau) \quad \alpha = 0, 1, 2, 3, 5$

Lorentz force permits mass exchange between particle and field

Total mass and momentum of particle and field conserved

Self-interaction restores on-shell mass

Maxwell electrodynamics  $\sim \tau$ -independent equilibrium

## Quantum electrodynamics

First order unconstrained quantization

Retarded causality in  $\tau \longrightarrow$  no matter loops

Super-renormalizable with suppression of mass exchange

## General relativity

4D metric  $g_{\mu\nu}(x)$  on  $\mathcal{M} \longrightarrow g_{\alpha\beta}(x, \tau)$  on  $\mathcal{M}(\tau)$

4+1 formalism generalizes Arnowitt-Deser-Misner (ADM)

Evolving metric  $\longrightarrow$  mass exchange across spacetime

# Horwitz-Piron Covariant Mechanics

Covariant Lagrangian and Hamiltonian mechanics (1973)

## Classical Lagrangian on 8D unconstrained phase space

$$L = \frac{1}{2} M \dot{x}^\mu \dot{x}_\mu + e \dot{x}^\mu A_\mu(x) - V(x) \qquad \frac{d}{d\tau} \frac{\partial L}{\partial \dot{x}_\mu} - \frac{\partial L}{\partial x_\mu} = 0$$

## Generalized Lorentz force

$$M \left( \ddot{x}^\mu + \Gamma_{\nu\rho}^\mu \dot{x}^\nu \dot{x}^\rho \right) = e F^{\mu\nu} \dot{x}_\nu - \partial^\mu V \quad \longrightarrow \quad G^\mu = -\partial^\mu V$$

where

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu \qquad p_\mu = \frac{\partial L}{\partial \dot{x}^\mu} = M \dot{x}_\mu + e A_\mu(x)$$

## Manifestly covariant Hamiltonian mechanics

$$K = \dot{x}^\mu p_\mu - L = \frac{1}{2M} (p^\mu - e A^\mu)(p_\mu - e A_\mu) + V$$

$$\text{Classical: } \dot{x}^\mu = \frac{\partial K}{\partial p_\mu} \qquad \dot{p}^\mu = -\frac{\partial K}{\partial x_\mu} \qquad \text{Quantum: } i\partial_\tau \psi(x, \tau) = K\psi(x, \tau)$$

# Horwitz-Piron Covariant Mechanics

Application: relativistic quantum two-body problems

## Hamiltonian

$$K = \frac{p_{1\mu} p_1^\mu}{2M_1} + \frac{p_{2\mu} p_2^\mu}{2M_2} + V(x_1, x_2) = \frac{P^\mu P_\mu}{2M} + \frac{p^\mu p_\mu}{2m} + V(\rho) = \frac{P^\mu P_\mu}{2M} + K_{rel}$$

## Center of mass and relative motion

$$P^\mu = p_1^\mu + p_2^\mu \quad p^\mu = \frac{M_2 p_1^\mu - M_1 p_2^\mu}{M} \quad M = M_1 + M_2 \quad m = \frac{M_1 M_2}{M}$$

## Generalized central force

$$V(x_1, x_2) = V(\rho) \quad \text{where} \quad \rho = \sqrt{(\mathbf{x}_1 - \mathbf{x}_2)^2 - (t_1 - t_2)^2}$$

## Relativistic models with mass as dynamical variable

Bound states and scattering — Horwitz and Arshansky (1989)

Selection rules, radiative transitions, perturbation theory, Zeeman and

Stark effects, bound state decay — Horwitz and Land (1993, 1995, 2001)

Entanglement and interference in time — Horwitz (2018)

# Classical Off-Shell Electrodynamics: Framework

Where does potential  $V(x)$  come from?

## Extend classical electrodynamics

Invariance under  $\tau$ -dependent gauge transformations

Requires  $\tau$ -dependent gauge potentials  $A_\mu(x) \longrightarrow a_\mu(x, \tau)$

Requires *fifth*  $\tau$ -dependent gauge potential  $ea_5(x, \tau) = -V$

## $\tau$ -dependent field equations

Lorentz force: 4 independent components

$E$  and  $\mathbf{p}$  unconstrained

Particles and fields can exchange mass

Total mass of particles + fields conserved

## Concatenation of events along worldline

Integration over  $\tau \longrightarrow$  Maxwell equations

Extracts equilibrium theory from microscopic dynamics

# Classical Off-Shell Electrodynamics: Framework

5D gauge theory — Horwitz, Saad, and Arshansky (1989), Land (2020)

## Classical gauge freedom

$$S = \int d\tau L \longrightarrow \int d\tau \left[ L + \frac{d}{d\tau} \Lambda(x, \tau) \right] = \int d\tau \left[ L + \delta \left( \underbrace{\dot{x}^\mu \partial_\mu \Lambda + \partial_\tau \Lambda}_{\dot{x}^\alpha \partial_\alpha \Lambda} \right) \right]$$

## Generalized interaction: 5 gauge potentials

$$\frac{e}{c} \dot{x}^\mu A_\mu(x) - V(x) \longrightarrow \frac{e}{c} \dot{x}^\mu a_\mu(x, \tau) + \frac{e}{c} \dot{x}^5 a_5(x, \tau) = \frac{e}{c} \dot{x}^\alpha a_\alpha(x, \tau)$$

$$\lambda, \mu, \nu = 0, 1, 2, 3 \quad \text{and} \quad \alpha, \beta, \gamma = 0, 1, 2, 3, 5$$

$$x^5 = c_5 \tau \quad \text{for} \quad \dot{x}^5 = \text{constant} = c_5 \ll c$$

## Conserved 5-current and Maxwell current

$$j^\alpha(x, \tau) = c \dot{x}^\alpha \delta^4(x - X(\tau)) \quad \partial_\alpha j^\alpha = \partial_\mu j^\mu + \partial_5 j^5 = 0$$

$$J^\mu(x) = \int d\tau j^\mu(x, \tau) \longrightarrow \partial_\mu J^\mu = 0$$

# Classical Off-Shell Electrodynamics: Framework

Lorentz force (Land 1991)

## Lagrangian

$$L = \frac{1}{2}M\dot{x}^\mu\dot{x}_\mu + \frac{e}{c}\dot{x}^\alpha a_\alpha(x, \tau) \quad \text{break 5D symmetry: } \dot{x}^5 \text{ not dynamical}$$

## Equations of motion

$$M\ddot{x}_\mu = \frac{e}{c}\dot{x}^\beta f_{\mu\beta} = \frac{e}{c}(\dot{x}^\nu f_{\mu\nu} - c_5 f_{5\mu})$$

$$\frac{d}{d\tau} \left( -\frac{1}{2}M\dot{x}^\mu\dot{x}_\mu \right) = c_5 \frac{e}{c}\dot{x}^\mu f_{5\mu}$$

## 5D field strength

$$f_{\alpha\beta} = \partial_\alpha a_\beta - \partial_\beta a_\alpha \quad \alpha, \beta = 0, 1, 2, 3, 5$$

$$f_{\mu\nu}(x, \tau) \longrightarrow F_{\mu\nu}(x) \text{ at equilibrium } (\tau\text{-independence})$$

$$\varepsilon^\mu(x, \tau) = f^{5\mu}(x, \tau) = \partial^5 a^\mu - \partial^\mu a^5 \quad \text{induces mass exchange}$$

# Classical Off-Shell Electrodynamics: Framework

Simple case: off-shell trajectory

## In co-moving frame of one particle

For  $\tau < 0$

$$x(\tau) = (c\tau, \mathbf{0}) \quad \longrightarrow \quad \dot{x}(\tau) = (c, \mathbf{0}) \quad \longrightarrow \quad -M\dot{x}^2 = Mc^2$$

$$a_\alpha(x, \tau) = 0 \quad \longrightarrow \quad f_{\alpha\beta} = 0 \quad \longrightarrow \quad M\ddot{x} = 0$$

For  $\tau > 0$

$$a^\mu(x, \tau) = 0 \quad \longrightarrow \quad f^{\mu\nu} = 0$$

$$a_5 = -\varepsilon x_0 \quad \longrightarrow \quad f_{5k} = 0 \quad f_{50} = -\partial^0 a_5 = \varepsilon \quad (\varepsilon = \text{constant})$$

Acceleration in  $x^0$  coordinate  $\Rightarrow$  mass acceleration

$$M\ddot{x}_\mu = 0 \quad M\dot{x}^0 = e \frac{c_5}{c} \varepsilon \quad \frac{d}{d\tau} \left( -\frac{1}{2} M \dot{x}^\mu \dot{x}_\mu \right) = e \frac{c_5}{c} \dot{x}^0 \varepsilon$$

# Classical Off-Shell Electrodynamics: Framework

Electromagnetic Action (Horwitz, Saad, Arshansky 1989, Land 2001)

## Expand interaction term

$$\dot{X}^\alpha a_\alpha \longrightarrow \int d^4x \dot{X}^\alpha(\tau) \delta^4(x - X(\tau)) a_\alpha(x, \tau) = \frac{1}{c} \int d^4x j^\alpha(x, \tau) a_\alpha(x, \tau)$$

## Action

$$S_{\text{em}} = \int d^4x d\tau \left\{ \frac{e}{c^2} j^\alpha(x, \tau) a_\alpha(x, \tau) - \int \frac{ds}{\lambda} \frac{1}{4c} \left[ f^{\alpha\beta}(x, \tau) \Phi(\tau - s) f_{\alpha\beta}(x, s) \right] \right\}$$

## Interaction kernel (non-local in time $\tau$ )

$$\Phi(\tau) = \delta(\tau) - (\xi\lambda)^2 \delta''(\tau) \quad \text{removes singularity in Coulomb law}$$

$\lambda =$  constant with dimensions of time — a correlation time

$$\xi = \frac{1}{2} \left[ 1 + \left( \frac{c_5}{c} \right)^2 \right]$$



# Classical Off-Shell Electrodynamics: Framework

5D pseudo-metric

Terms  $j^\alpha a_\alpha$  and  $f^{\alpha\beta} f_{\alpha\beta}$  suggest 5D symmetry

Must break to  $O(3,1)$  in presence of matter  $\longrightarrow O(4,1)$  or  $O(3,2)$

Raising and lowering 5<sup>th</sup> index

$$g_{\alpha\beta} \xrightarrow{\text{flat}} \eta_{\alpha\beta} = \text{diag}(-1, 1, 1, 1, \sigma) \quad \eta_{55} = \sigma = \pm 1$$

$\delta''(\tau)$  term in action breaks higher symmetry

$$\int d\tau ds f^{\alpha\beta}(x, \tau) \delta''(\tau - s) f_{\alpha\beta}(x, s) = - \int d\tau [\partial_\tau f^{\alpha\beta}(x, \tau)] \partial_\tau f_{\alpha\beta}(x, \tau)$$

Expanding

$$f^{\alpha\beta} f_{\alpha\beta} = f^{\mu\nu} f_{\mu\nu} + 2\eta^{55} f_5^\mu f_{5\mu}$$

Interpret  $\sigma = \eta^{55}$  as relative sign of vector-vector kinetic term

# Classical Off-Shell Electrodynamics: Framework

pre-Maxwell field equations

## Inverse interaction kernel

$$\varphi(\tau) = \lambda \Phi^{-1}(\tau) = \lambda \int \frac{d\kappa}{2\pi} \frac{e^{-i\kappa\tau}}{1 + (\xi\lambda\kappa)^2} = \frac{1}{2\xi} e^{-|\tau|/\xi\lambda}$$

$$\int \frac{ds}{\lambda} \varphi(\tau - s) \Phi(s) = \delta(\tau) \qquad \int \frac{d\tau}{\lambda} \varphi(\tau) = 1$$

## Vary action with respect to $a_\alpha(x, \tau)$

$$\partial_\beta f^{\alpha\beta}(x, \tau) = \frac{e}{c} \int ds \varphi(\tau - s) j^\alpha(x, s) = \frac{e}{c} j_\varphi^\alpha(x, \tau)$$

$$\partial_\alpha f_{\beta\gamma} + \partial_\gamma f_{\alpha\beta} + \partial_\beta f_{\gamma\alpha} = 0 \qquad (\text{identically})$$

$$\varphi = \frac{1}{2\xi} e^{-|\tau|/\xi\lambda} \quad \text{smooths sharp current } j^\alpha(x, \tau) = c \dot{X}^\alpha \delta^4(x - X(\tau))$$

# Classical Off-Shell Electrodynamics: Framework

Comparing pre-Maxwell and Maxwell equations

Maxwell equations in 3+1 (space + time) components

$$\nabla \times \mathbf{B} - \frac{1}{c} \frac{\partial}{\partial t} \mathbf{E} = \frac{e}{c} \mathbf{J}$$

$$\nabla \cdot \mathbf{E} = \frac{e}{c} J^0$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} + \frac{1}{c} \frac{\partial}{\partial t} \mathbf{B} = 0$$

pre-Maxwell in 4+1 (spacetime +  $\tau$ ) components

$$\partial_\nu f^{\mu\nu} - \frac{1}{c_5} \frac{\partial}{\partial \tau} f^{5\mu} = \frac{e}{c} j_\varphi^\mu$$

$$\partial_\mu f^{5\mu} = \frac{e}{c} j_\varphi^5$$

$$\partial_\mu f_{\nu\rho} + \partial_\nu f_{\rho\mu} + \partial_\rho f_{\mu\nu} = 0$$

$$\partial_\nu f_{5\mu} - \partial_\mu f_{5\nu} + \frac{1}{c_5} \frac{\partial}{\partial \tau} f_{\mu\nu} = 0$$

Field decomposition

$f_{5\mu} \sim$  electric field sourced by  $j^5$  in Gauss law

$f^{\mu\nu} \sim$  magnetic field induced by “curl” and  $\tau$  variation of  $f_{5\mu}$

# Classical Off-Shell Electrodynamics: Framework

## Mass-Energy-Momentum Tensor

### Electromagnetic action

$$S_{\text{em}} = \int d^4x d\tau \left\{ \frac{e}{c^2} j^\alpha(x, \tau) a_\alpha(x, \tau) - \frac{1}{4c} \left[ f_\Phi^{\alpha\beta}(x, \tau) f_{\alpha\beta}(x, \tau) \right] \right\}$$
$$f_\Phi^{\alpha\beta}(x, \tau) = \int \frac{ds}{\lambda} \Phi(\tau - s) f^{\alpha\beta}(x, s)$$

### Mass-energy-momentum tensor

$$T_\Phi^{\alpha\beta} = \frac{1}{c} \left( f_\Phi^{\alpha\gamma} f_\gamma^\beta - \frac{1}{4} g^{\alpha\beta} f_\Phi^{\delta\gamma} f_{\delta\gamma} \right)$$

Translation invariance  $\longrightarrow$  Noether symmetry

$$\partial_\alpha T_\Phi^{\alpha\beta} = -\frac{e}{c^2} f^{\beta\alpha} j_\alpha$$

# Classical Off-Shell Electrodynamics: Framework

Conservation of total mass — Horwitz and Land (1991)

For particle current

$$\partial_\alpha T_\Phi^{\alpha\beta} = -\frac{e}{c^2} f^{\beta\alpha} j_\alpha = -\frac{e}{c} f^{\beta\alpha} \dot{X}_\alpha \delta^4(x - X(\tau))$$

Spacetime integral

$$\text{LHS} \quad \int d^4x \partial_\alpha T^{\alpha\beta} = \int d^4x \partial_\mu T^{\mu\beta} + \int d^4x \partial_5 T^{5\beta} = \frac{1}{c_5} \frac{d}{d\tau} \int d^4x T^{5\beta}$$

$$\text{RHS} \quad -\frac{e}{c} \int d^4x f^{\beta\alpha} \dot{X}_\alpha \delta^4(x - X(\tau)) = -\frac{e}{c} f^{\beta\alpha}(X, \tau) \dot{X}_\alpha$$

Lorentz force

$$\frac{e}{c} f^{\mu\alpha} \dot{X}_\alpha = \frac{d}{d\tau} (M \dot{X}^\mu) \quad \frac{e}{c} f^{5\mu} \dot{X}_\mu = \frac{1}{c_5} \frac{d}{d\tau} \left( -\sigma \frac{1}{2} M \dot{x}^\mu \dot{x}_\mu \right)$$

Total mass-energy-momentum of particle + field conserved

$$\frac{d}{d\tau} \left( \int d^4x T^{5\mu} + M \dot{x}^\mu \right) = 0 \quad \frac{d}{d\tau} \left( \int d^4x T^{55} - \sigma \frac{1}{2} M \dot{x}^2 \right) = 0$$

# Classical Off-Shell Electrodynamics: Framework

Recovering Maxwell theory: concatenation

Concatenation — sum contributions to  $G(x)$  from events  $g_\alpha(x, \tau)$

Integrate function  $g_\alpha(x, \tau)$  along worldline:  $G_\alpha(x) = \int_{-\infty}^{\infty} \frac{d\tau}{\lambda} g_\alpha(x, \tau)$

Boundary condition  $g_5(x, \pm\infty) = 0$

Divergenceless Maxwell current

$$\partial_\alpha j_\varphi^\alpha = \partial_\mu j_\varphi^\mu + \partial_5 j_\varphi^5 = 0 \quad \longrightarrow \quad \partial_\mu J^\mu(x) = \partial_\mu \int_{-\infty}^{\infty} \frac{d\tau}{\lambda} j_\varphi^\mu(x, \tau) = 0$$

Field equations

$$\left. \begin{aligned} \partial_\beta f^{\alpha\beta}(x, \tau) &= \frac{e}{c} j_\varphi^\alpha(x, \tau) \\ \partial_{[\alpha} f_{\beta\gamma]} &= 0 \end{aligned} \right\} \xrightarrow{\int \frac{d\tau}{\lambda}} \left\{ \begin{aligned} \partial_\nu F^{\mu\nu}(x) &= \frac{e}{c} J^\mu(x) \\ \partial_{[\mu} F_{\nu\rho]} &= 0 \end{aligned} \right.$$

Concatenation extracts on-shell Maxwell theory as equilibrium limit

# Classical Off-Shell Electrodynamics: Field Solutions

Coulomb and Liénard-Wiechert potentials

pre-Maxwell equations lead to 5D wave equation

Green's function contains two parts

$G_{Maxwell}$  support on 4D lightcone at instantaneous  $\tau$  separation

$G_{Correlation}$  support on timelike/spacelike separations ( $\sigma = \pm 1$ )

$G_{Correlation}$  falls off much faster than  $G_{Maxwell}$

## Coulomb problem

'Static' source moving uniformly on  $t$ -axis  $\longrightarrow$  Yukawa potential

Mass spectrum of photon  $\sim$  range of possible mass exchange

Provides limit on  $\lambda$

Liénard-Wiechert potential =  $\varphi(\tau - \tau_R) \times$  Maxwell result

Lorentz force  $\longrightarrow$  Maxwell result as  $c_5 \rightarrow 0$

# Classical Off-Shell Electrodynamics: Field Solutions

Wave equation and Green's function (Land and Horwitz 1991)

From pre-Maxwell equations in Lorenz gauge

$$\partial_\beta \partial^\beta a^\alpha = (\partial_\mu \partial^\mu + \partial_\tau \partial^\tau) a^\alpha = (\partial_\mu \partial^\mu + \frac{g_{55}}{c_5^2} \partial_\tau^2) a^\alpha = -\frac{e}{c} j_\varphi^\alpha(x, \tau)$$

Green's function

$$G_P(x, \tau) = -\frac{1}{2\pi} \delta(x^2) \delta(\tau) - \frac{c_5}{2\pi^2} \frac{\partial}{\partial x^2} \theta(-g_{55} g_{\alpha\beta} x^\alpha x^\beta) \frac{1}{\sqrt{-g_{55} g_{\alpha\beta} x^\alpha x^\beta}}$$
$$= G_{Maxwell} + G_{Correlation}$$

$G_{Correlation}$

Smaller than  $G_{Maxwell}$  by  $c_5/c$  and drops off as  $1/|x|^2$

May be neglected at at low energy

Spacelike support for  $\sigma = -1$

Timelike support for  $\sigma = +1$

$$\int d\tau G_P = -\frac{1}{2\pi} \delta(x^2)$$



# Classical Off-Shell Electrodynamics: Field Solutions

'Static' Coulomb potential (Land 1995)

“Static” source event

$X(\tau) = (c\tau, 0, 0, 0)$  evolves along  $x^0$ -axis

Induces current

$$j_{\varphi}^0(x, \tau) = c^2 \varphi(t - \tau) \delta^3(\mathbf{x}) \quad \mathbf{j}_{\varphi}(x, \tau) = 0 \quad j_{\varphi}^5(x, \tau) = \frac{c_5}{c} j_{\varphi}^0(x, \tau)$$

Potential using  $G_{Maxwell} = -\frac{1}{2\pi} \delta(x^2) \delta(\tau)$

$$a^0(x, \tau) = \frac{e}{4\pi|\mathbf{x}|} \varphi \left( \tau - \left( t - \frac{|\mathbf{x}|}{c} \right) \right) \quad \mathbf{a} = 0 \quad a^5(x, \tau) = \frac{c_5}{c} a^0(x, \tau)$$

Test event

Observer on parallel trajectory  $x(\tau) = (c\tau, \mathbf{x})$

$$\varphi(\tau) \longrightarrow \text{Yukawa-type potential} \quad a^0(x, \tau) = \frac{e}{4\pi|\mathbf{x}|} \frac{1}{2\xi} e^{-|\mathbf{x}|/\xi\lambda c}$$

# Classical Off-Shell Electrodynamics: Field Solutions

Liénard-Wiechert potential (Land 2016)

Arbitrary source event  $X^\mu(\tau) \longrightarrow$  current

$$j_\varphi^\alpha(x, \tau) = -\frac{e}{c} \int ds \varphi(\tau - s) \dot{X}^\alpha(s) \delta^4(x - X(s))$$

Potential using  $G_{Maxwell} = -\frac{1}{2\pi} \delta(x^2) \delta(\tau)$

$$\begin{aligned} a^\alpha(x, \tau) &= \frac{e}{2\pi} \int ds \varphi(\tau - s) \dot{X}^\alpha(s) \delta\left((x - X(s))^2\right) \theta^{ret} \\ &= \frac{e}{4\pi} \varphi(\tau - \tau_R) \frac{u^\alpha}{|u \cdot z(\tau_R)|} \end{aligned}$$

$$\text{where } u^\mu = \dot{X}^\mu(\tau) \quad z^\mu = x^\mu - X^\mu(\tau) \quad z^2(\tau_R) = 0$$

$\tau$ -dependence in  $\varphi(\tau - \tau_R)$

$$a^\mu(x, \tau) = \varphi(\tau - \tau_R) A_{\text{Liénard-Wiechert}}^\mu(x)$$

# Classical Off-Shell Electrodynamics: Field Solutions

Liénard-Wiechert fields (Land 2016)

## From Liénard-Wiechert potential

$$\begin{aligned} M\dot{x}^\mu &= \frac{e}{c} \left[ f^\mu{}_\nu(x, \tau) \dot{x}^\nu + f^{5\mu}(x, \tau) \dot{x}^5 \right] \\ &= \frac{e}{c} \frac{e}{4\pi} \varphi(\tau - \tau_R) \left[ \mathcal{F}^\mu{}_\nu(x, \tau) \dot{x}^\nu + c_5^2 \mathcal{F}^{5\mu}(x, \tau) \right] \end{aligned}$$

where  $\mathcal{F}^{\mu\nu}$  and  $\mathcal{F}^{5\mu}$  do not contain  $c_5$

$$\begin{aligned} \mathcal{F}^{\mu\nu} &= \frac{(z^\mu u^\nu - z^\nu u^\mu) u^2}{(u \cdot z)^3} + \left[ \frac{(z^\mu \dot{u}^\nu - z^\nu \dot{u}^\mu) (u \cdot z) - (z^\mu u^\nu - z^\nu u^\mu) (\dot{u} \cdot z)}{(u \cdot z)^3} + \frac{\epsilon(\tau - \tau_R)}{\lambda} \frac{z^\mu u^\nu - z^\nu u^\mu}{(u \cdot z)^2} \right] \\ \mathcal{F}^{5\mu} &= \frac{z^\mu u^2 - u^\mu (u \cdot z)}{(u \cdot z)^3} - \frac{(\dot{u} \cdot z) z^\mu}{(u \cdot z)^3} + \frac{\epsilon(\tau - \tau_R)}{\lambda} \frac{z^\mu - u^\mu (u \cdot z)}{(u \cdot z)^2} \end{aligned}$$

Using  $\varphi(\tau) = \frac{1}{2\zeta} e^{-|\tau|/\zeta\lambda}$  and  $\zeta = \frac{1}{2} \left[ 1 + \left( \frac{c_5}{c} \right)^2 \right]$

$$M\dot{x}^\mu = \frac{e^2}{4\pi c} e^{-|\tau - \tau_R|/\zeta\lambda} \frac{\mathcal{F}^\mu{}_\nu \dot{x}^\nu + c_5^2 \mathcal{F}^{5\mu}}{1 + (c_5/c)^2}$$

# Classical Off-Shell Electrodynamics: Field Solutions

Recovering Maxwell theory: limit  $c_5 \rightarrow 0$

## Lorentz force from Liénard-Wiechert potential

$$M\ddot{x}^\mu = \frac{e^2}{4\pi c} e^{-|\tau-\tau_R|/\xi\lambda} \frac{\mathcal{F}^\mu{}_\nu \dot{x}^\nu + c_5^2 \mathcal{F}^{5\mu}}{1 + (c_5/c)^2} \xrightarrow{c_5 \rightarrow 0} \frac{e^2}{4\pi c} e^{-2|\tau-\tau_R|/\lambda} \mathcal{F}^\mu{}_\nu \dot{x}^\nu$$

## Homogeneous pre-Maxwell equations

$$f^{\alpha\beta} = \partial^\alpha a^\beta - \partial^\beta a^\alpha \Rightarrow \partial_\mu f_{\nu\rho} + \partial_\nu f_{\rho\mu} + \partial_\rho f_{\mu\nu} = 0 \text{ satisfied identically}$$

$$\partial_\nu f_{5\mu} - \partial_\mu f_{5\nu} + \frac{1}{c_5} \frac{\partial}{\partial \tau} f_{\mu\nu} = 0 \Rightarrow \partial_\tau f_{\mu\nu} = 0 \Rightarrow \partial_\tau \varphi \rightarrow 0 \Rightarrow \lambda \rightarrow \infty$$

## Inhomogeneous pre-Maxwell equations decouple

$$\lambda \rightarrow \infty \Rightarrow \varphi = 1 \Rightarrow \frac{\partial}{\partial \tau} f^{5\mu} = 0, \quad j_\varphi^\mu(x, \tau) = J^\mu(x) \Rightarrow \partial_\nu f^{\mu\nu} = \frac{e}{c} J^\mu$$

$$\mathcal{F}^{\mu\nu} = \frac{(z^\mu u^\nu - z^\nu u^\mu) u^2}{(u \cdot z)^3} + \left[ \frac{(z^\mu \dot{u}^\nu - z^\nu \dot{u}^\mu) (u \cdot z) - (z^\mu u^\nu - z^\nu u^\mu) (\dot{u} \cdot z)}{(u \cdot z)^3} \right]$$

# Classical Off-Shell Electrodynamics: Field Solutions

Experimental bounds

## Photon mass in Coulomb potential

$$\text{Yukawa-type potential } a^0(x, \tau) = \frac{e}{4\pi|\mathbf{x}|} \frac{1}{2\tilde{\zeta}} e^{-|\mathbf{x}|/\tilde{\zeta}\lambda c}$$

$$\text{Photon mass spectrum } m_\gamma c^2 \sim \hbar/\tilde{\zeta}\lambda$$

$$\text{Experimental error for photon mass } \sim 10^{-18} eV \longrightarrow \lambda > 10^4 \text{ seconds}$$

## Field strengths from Yukawa potential

$$f^{k0}(x, \tau) = a^0 \quad f^{k5}(x, \tau) = \frac{c_5}{c} a^0 \quad f^{ij}(x, \tau) = f^{50}(x, \tau) = 0$$

Lorentz force for  $e^- + e^+ \longrightarrow e^- + e^+$  and  $e^- + e^- \longrightarrow e^- + e^-$

$$M\ddot{\mathbf{x}} = \mp e^2 \frac{1 \pm \eta_{55} (c_5/c)^2}{1 + (c_5/c)^2} \nabla \left( \frac{e^{-|\mathbf{x}|/\tilde{\zeta}\lambda c}}{4\pi|\mathbf{x}|} \right)$$

$$\frac{\sigma(e^- + e^+ \longrightarrow e^- + e^+)}{\sigma(e^- + e^- \longrightarrow e^- + e^-)} = 1 \pm \text{experimental error} \simeq \left[ \frac{1 \mp \eta_{55} \left(\frac{c_5}{c}\right)^2}{1 + \left(\frac{c_5}{c}\right)^2} \right]^2$$

## Toy model for mass change from interaction

Particle experiences stochastic perturbative interaction

Small periodic amplitude at high frequency added to position

Large velocity perturbations

Possible macroscopic mass perturbation

## Self-interaction $\longrightarrow$ mass restoration

Particle  $x^0$  varies in co-moving frame  $\longrightarrow$  mass acceleration

For  $\sigma = +1$ ,  $G_{Correlation}$  has timelike support

Particle interacts in future with its own field

Interaction damps mass acceleration to zero

Self-interaction vanishes when mass remains on-shell

# Mass Shift by Stochastic Perturbation

On-shell event enters dense region of charged particles

## Uniformly propagating event

$$x(\tau) = u\tau = (u^0, \mathbf{u}) \quad u^2 = -c^2$$

## Dense region of charged particles

Small stochastic perturbation  $X(\tau) \rightarrow x(\tau) = u\tau + X(\tau)$

Typical distance  $d$  between force centers  $\rightarrow$  roughly periodic perturbation

Characteristic period =  $\frac{d}{|\mathbf{u}|} = \frac{\text{very short distance}}{\text{moderate velocity}} = \text{very short time}$

fundamental frequency =  $\omega_0 = 2\pi \frac{|\mathbf{u}|}{d} = \text{very high frequency}$

amplitude =  $|X^\mu(\tau)| \sim \alpha d$

macroscopic factor =  $\alpha < 1$

# Mass Shift by Stochastic Perturbation

Perturbed motion

Expand perturbation in Fourier series

$$X(\tau) = \operatorname{Re} \sum_n a_n e^{in\omega_0\tau}$$

Write four-vector coefficients as

$$a_n = \alpha ds_n = \alpha d \left( s_n^0, \mathbf{s}_n \right) = \alpha d \left( cs_n^t, \mathbf{s}_n \right)$$

where  $s_n$  represent normalized Fourier series ( $s_0^\mu \sim 1$ )

Perturbed motion on microscopic scale  $d$

$$X(\tau) = \alpha d \operatorname{Re} \sum_n s_n^\mu e^{in\omega_0\tau}$$

Perturbed velocity on macroscopic scale  $\alpha |\mathbf{u}|$

$$\dot{x}^\mu(\tau) = u^\mu + \alpha |\mathbf{u}| \operatorname{Re} \sum_n 2\pi n s_n^\mu i e^{in\omega_0\tau}$$



# Mass Shift by Stochastic Perturbation

Perturbed mass

Unperturbed on-shell mass

$$m = -\frac{M\dot{x}^2(\tau)}{c^2} = M$$

Perturbed mass, neglecting  $\alpha^2$

$$m = -\frac{M\dot{x}^2(\tau)}{c^2} = -\frac{M}{c^2} \left( u + \alpha |\mathbf{u}| \operatorname{Re} \sum_n 2\pi n s_n e^{in\omega_0\tau} \right)^2$$

$$\simeq M \left( 1 + 4\pi\alpha |\mathbf{u}| \operatorname{Re} \sum_n n s_n^t e^{in\omega_0\tau} \right)$$

$$m \longrightarrow m \left( 1 + \frac{\Delta m}{m} \right) \quad \frac{\Delta m}{m} = 4\pi\alpha |\mathbf{u}| \operatorname{Re} \sum_n n s_n^t e^{in\omega_0\tau}$$

Larger mass shifts if  $\alpha > 1 \Rightarrow \alpha^2$  becomes significant

# Self-Interaction for Mass Stability

## Framework

### Arbitrarily moving event $X^\mu(\tau)$

In co-moving frame  $X(\tau) = (ct(\tau), \mathbf{0})$   $\dot{X}(\tau) = (c\dot{t}(\tau), \mathbf{0})$

Produces current  $j_\phi^\alpha(x, \tau)$  and field  $f^{\alpha\beta}(x, \tau)$

### At time $\tau^* > \tau$

$G_{Maxwell} = 0$  on timelike separation  $X(\tau^*) - X(\tau) = c(t(\tau^*) - t(\tau), \mathbf{0})$

$G_{Correlation}$  has timelike support for  $\sigma = +1$

### Particle interacts with its own induced potential

$$a^\alpha(X(\tau^*), \tau^*) = \frac{ec_5}{2\pi^2 c^3} \int ds \dot{X}^\alpha(s) \left( \frac{1}{2} \frac{\theta(g(s))}{(g(s))^{3/2}} - \frac{\delta(g(s))}{(g(s))^{1/2}} \right) \theta(\tau^* - s)$$

$$c^2 g(s) = - \left( (X(\tau) - X(s))^2 + c_5^2 (\tau - s)^2 \right) = c^2 \left( (t(\tau^*) - t(s))^2 - \frac{c_5^2}{c^2} (\tau^* - s)^2 \right)$$

# Self-Interaction for Mass Stability

On-shell trajectory

Particle evolves uniformly in co-moving frame to  $t(\tau^*) = \tau^*$

$$g(s) = (1 - c_5^2/c^2) (\tau^* - s)^2$$

Potential

$$\begin{aligned} a(X(\tau^*), \tau^*) &= \frac{ec_5}{2\pi^2 c^3} (c, \mathbf{0}, c_5) \int_{-\infty}^{\tau^*} \left( \frac{\theta \left( \left(1 - \frac{c_5^2}{c^2}\right) (\tau^* - s)^2 \right)}{2 \left( \left(1 - \frac{c_5^2}{c^2}\right) (\tau^* - s)^2 \right)^{3/2}} \right. \\ &\quad \left. - \frac{\delta \left( \left(1 - \frac{c_5^2}{c^2}\right) (\tau^* - s)^2 \right)}{\left( \left(1 - \frac{c_5^2}{c^2}\right) (\tau^* - s)^2 \right)^{1/2}} \right) \\ &= \frac{ec_5}{2\pi^2 c^3} (c, \mathbf{0}, c_5) \lim_{s \rightarrow \tau^*} \left( \frac{1}{2(\tau^* - s)^2} - \frac{\frac{1}{2}}{(\tau^* - s)^2} \right) \\ &= 0 \end{aligned}$$

No self-interaction for  $\dot{x}^2 = \text{constant}$

# Self-Interaction for Mass Stability

Arbitrary trajectory in co-moving frame

## Trajectory

$$\dot{X}(\tau) = (c\dot{t}(\tau), \mathbf{0}) \longrightarrow a^i = \partial_i a^0 = \partial_t a^5 = f^{\mu\nu} = f^{5i} = 0$$

## Field strength

$$f^{50} = \frac{1}{c_5} \partial_{\tau^*} a^0 + \frac{1}{c} \partial_t a^5 = f_{\theta}^{50} + f_{\delta}^{50} + f_{\delta'}^{50}$$

$$f_{\theta}^{50} = \frac{3e}{4\pi^2} \frac{c_5^2}{c^4} \int ds \frac{\theta(g(s))}{(g(s))^{5/2}} \theta^{ret} \Delta(\tau^*, s)$$

$$f_{\delta}^{50} = -\frac{e}{\pi^2} \frac{c_5^2}{c^4} \int ds \frac{\delta(g(s))}{(g(s))^{3/2}} \theta^{ret} \Delta(\tau^*, s)$$

$$f_{\delta'}^{50} = -\frac{e}{\pi^2} \frac{c_5^2}{c^4} \int ds \frac{\delta'(g(s))}{(g(s))^{1/2}} \theta^{ret} \Delta(\tau^*, s)$$

where  $\Delta(\tau^*, s) = \dot{t}(s)(\tau^* - s) - (t(\tau^*) - t(s))$

$$g(s) = (t(\tau^*) - t(s))^2 - (c_5^2/c^2)(\tau^* - s)^2$$

# Self-Interaction for Mass Stability

Function  $\Delta(\tau^*, s)$

At constant velocity

$$x^0(\tau) = u^0\tau \quad \Rightarrow \quad \Delta(\tau^*, s) = \frac{u^0}{c}(\tau^* - s) - \left( \frac{u^0}{c}\tau^* - \frac{u^0}{c}s \right) = 0$$

Expand  $t(\tau)$  to order  $\ddot{t}$

$$\begin{aligned} t(\tau^*) - t(s) &= t(s) + \dot{t}(s)(\tau^* - s) + \frac{1}{2}\ddot{t}(s)(\tau^* - s)^2 + o\left((\tau^* - s)^3\right) - t(s) \\ &= \dot{t}(s)(\tau^* - s) + \frac{1}{2}\ddot{t}(s)(\tau^* - s)^2 + o\left((\tau^* - s)^3\right) \end{aligned}$$

From which

$$\Delta(\tau^*, s) = -\frac{1}{2}\ddot{t}(s)(\tau^* - s)^2 + o\left((\tau^* - s)^3\right)$$

$\Delta(\tau^*, s) \neq 0 \Rightarrow x^0(\tau)$  accelerates in rest frame  $\Rightarrow$  mass shift

# Self-Interaction for Mass Stability

## Mass jump

Small, sudden jump in mass at  $\tau = 0$

$$t(\tau) = \begin{cases} \tau & , \tau < 0 \\ (1 + \beta)\tau & , \tau > 0 \end{cases} \Rightarrow \dot{t}(\tau) = \begin{cases} 1 & , \tau < 0 \\ 1 + \beta & , \tau > 0 \end{cases}$$

For  $\tau^* < 0$

$$\theta^{ret} \Rightarrow s < 0 \rightarrow \dot{t}(\tau^*) = t(s) = 1 \rightarrow \Delta(\tau^*, s) = 0$$

For  $\tau^* > 0$

$$s > 0 \rightarrow \dot{t}(\tau^*) = t(s) = 1 + \beta \rightarrow \Delta(\tau^*, s) = 0$$

$$s < 0 \rightarrow \Delta(\tau^*, s) = \dot{t}(s)(\tau^* - s) - ((1 + \beta)(\tau^*) - s) = -\beta\tau^*$$

Solve  $g(s^*) = 0 \rightarrow s^* = \left(1 + \frac{\beta}{1 - \frac{c_5}{c}}\right)\tau^* > \tau^*$

$$g(s) > 0 \text{ on } s < 0 < \tau^* \Rightarrow f_{\delta}^{50} = f_{\delta'}^{50} = 0$$

# Self-Interaction for Mass Stability

Field strength from mass jump

## Support of self-interaction

$$\theta(g(s)) = 1 \text{ for } s < \tau^* \text{ and } \Delta(\tau^*, s) = \begin{cases} -\beta\tau^* & , \text{ for } s < 0 \\ 0 & , \text{ for } s > 0 \end{cases}$$

## Field strength

$$\begin{aligned} f^{50} = f_{\theta}^{50} &= (-\beta\tau^*) \frac{3e}{4\pi^2} \frac{c_5^2}{c^4} \int_{-\infty}^0 ds \frac{1}{[g(s)]^{5/2}} \\ &= (-\beta\tau^*) \frac{3e}{4\pi^2} \frac{c_5^2}{c^4} \int_{-\infty}^0 ds \frac{1}{\left[ ((1+\beta)\tau^* - s)^2 - \frac{c_5^2}{c^2}(\tau^* - s)^2 \right]^{5/2}} \\ &= \frac{e}{4\pi^2} \frac{1}{c_5^2 (\beta\tau^*)^3} Q\left(\beta, \frac{c_5^2}{c^2}\right) \end{aligned}$$

$Q\left(\beta, \frac{c_5^2}{c^2}\right)$  positive, dimensionless, finite for  $c_5 < c$ ,  $Q\left(\beta, \frac{c_5^2}{c^2}\right) \xrightarrow{c_5 \rightarrow 0} 0$

# Self-Interaction for Mass Stability

Factor  $Q$

$$Q\left(\beta, \frac{c_5^2}{c^2}\right) = \left[ 2\left(1 - \frac{c_5^2}{c^2}\right)^{3/2} \left( 1 - \frac{\left(1 - \frac{c_5^2}{c^2}\right)^{1/2} \left(1 + \frac{\beta}{\left(1 - \frac{c_5^2}{c^2}\right)}\right)}{\left[1 + \frac{2\beta}{1 - \frac{c_5^2}{c^2}} + \frac{\beta^2}{1 - \frac{c_5^2}{c^2}}\right]^{1/2}} \right) \right. \\ \left. + \frac{\beta^2 \frac{c_5^2}{c^2} \left(1 + \frac{c_5^2}{c^2} \frac{\beta}{1 - \frac{c_5^2}{c^2}}\right)}{\left(1 - \frac{c_5^2}{c^2}\right)^{1/2} \left[1 + \frac{2\beta}{1 - \frac{c_5^2}{c^2}} + \frac{\beta^2}{1 - \frac{c_5^2}{c^2}}\right]^{3/2}} \right]$$



# Self-Interaction for Mass Stability

Lorentz force

Lorentz force

$$f^{\mu\nu} = 0 \longrightarrow M\dot{x}^\mu = -ec_5 f^{5\mu}$$

Self-interaction

$$M\dot{x}^0 = -c_5 e f^{50} = \begin{cases} 0 & , \tau^* < 0 \\ -\frac{\lambda e^2}{4\pi^2} \frac{1}{c_5 (\beta\tau^*)^3} Q\left(\beta, \frac{c_5^2}{c^2}\right) & , \tau^* > 0 \end{cases}$$

$$M\dot{x}^i = -c_5 e f^{5i} \dot{x}_i = 0$$

$$\frac{d}{d\tau} \left( -\frac{1}{2} M\dot{x}^2 \right) = e f^{5\mu} \dot{x}_\mu = -e c f^{50} \dot{t} = -\frac{\lambda e^2}{4\pi^2} \frac{c}{c_5^2 (\beta\tau^*)^3} Q\left(\beta, \frac{c_5^2}{c^2}\right) \dot{t}$$

Emergent picture

Self-interaction  $\longrightarrow$  force opposing mass exchange

Mass damps back to on-shell value

Force vanishes when  $\dot{t} = 1$

# Off-Shell Quantum Electrodynamics

First order Lagrangian in particle and field

$\psi^* i \partial_\tau \psi$  kinetic term for particle

No  $\dot{a}_5$  term  $\rightarrow$  Gauss law and eliminates longitudinal modes

Unconstrained Lagrangian

4-Momentum + mass states  $d^k d\kappa$

Natural cut-off

Mass shift undetermined (analogous to scattering angle)

Interaction kernel  $\Phi$  puts  $\frac{1}{1 + \lambda^2 \kappa^2}$  into photon propagator

$\lambda$  restricts mass exchange as in classical Coulomb

Only one photon loop to renormalize

Particles propagate with  $\tau$ -retarded causality — no matter loops

Recovers standard Klein-Gordon if  $\Delta m = 0$  or  $\sqrt{s} \gg M$

## Stueckelberg-Schrodinger equation

$$\left(i\hbar\partial_\tau + e\frac{c_5}{c}a_5\right) \psi(x, \tau) = \frac{1}{2M} \left(p^\mu - \frac{e}{c}a^\mu\right) \left(p_\mu - \frac{e}{c}a_\mu\right) \psi(x, \tau)$$

## Local 5D gauge invariance

$$\text{Wavefunction} \quad \psi(x, \tau) \rightarrow \exp\left[\frac{ie}{\hbar c}\Lambda(x, \tau)\right] \psi(x, \tau)$$

$$\text{Potential} \quad a_\alpha(x, \tau) \rightarrow a_\alpha(x, \tau) + \partial_\alpha\Lambda(x, \tau)$$

Global gauge invariance  $\longrightarrow$  conserved current  $\partial_\alpha j^\alpha = 0$

$$j^\mu = -\frac{i\hbar}{2M} \left\{ \psi^* \left( \partial^\mu - \frac{ie}{c}a^\mu \right) \psi - \psi \left( \partial^\mu + \frac{ie}{c}a^\mu \right) \psi^* \right\} \quad j^5 = c_5 |\psi(x, \tau)|^2$$

### Lagrangian

$$\mathcal{L} = \psi^* (i\partial_\tau + ea_5) \psi - \frac{1}{2M} \psi^* (-i\partial_\mu - ea_\mu) (-i\partial^\mu - ea^\mu) \psi - \frac{\lambda}{4} f^{\alpha\beta} f_{\alpha\beta}^\Phi$$

$$f_{\alpha\beta}^\Phi(x, \tau) = \int ds \Phi(\tau - s) f_{\alpha\beta}(x, s)$$

Jackiw first order constrained quantization — introduce  $\epsilon^\mu = f^{5\mu}$

$$\begin{aligned} \mathcal{L} = & i\psi^* \dot{\psi} - \lambda \dot{a}^\mu \epsilon_\mu^\Phi - \frac{1}{2M} \psi^* (-i\partial_\mu - ea_\mu) (-i\partial^\mu - ea^\mu) \psi - \frac{\lambda}{4} f^{\mu\nu} f_{\mu\nu}^\Phi \\ & - \frac{\lambda}{2} \epsilon^\mu \epsilon_\mu^\Phi + a_5 (e\psi^* \psi - \lambda \partial^\mu \epsilon_\mu^\Phi) \end{aligned}$$

### Path integral

$$\mathcal{Z} = \frac{1}{\mathcal{N}} \int \mathcal{D}\psi^* \mathcal{D}\psi \mathcal{D}a_\mu \mathcal{D}a_5 \mathcal{D}\epsilon_\mu e^{iS}$$

No  $\dot{a}^5$  term  $\Rightarrow \int \mathcal{D}a_5 \rightarrow$  Gauss law constraint  $\delta(\partial^\mu \epsilon_\mu^\Phi - e\psi^* \psi)$

Solve constraint + gauge transformation

$$\mathcal{L} = i\psi^* \dot{\psi} - \frac{1}{2M} \psi^* (-i\partial_\mu - ea_\perp{}_\mu) (-i\partial^\mu - ea_\perp{}^\mu) \psi + \frac{1}{2} a_\perp{}_\mu \left( \square + \sigma \partial_\tau^2 \right) a_\perp{}^\mu$$

Feynman rules

Matter field propagator  $\frac{1}{(2\pi)^5} \frac{-i}{\frac{1}{2M} p^2 - P - i\epsilon}$

Photon propagator  $\left[ g^{\mu\nu} - \frac{k^\mu k^\nu}{k^2} \right] \frac{-i}{k^2 + \kappa^2 - i\epsilon} \frac{1}{1 + \lambda^2 \kappa^2}$

Three-particle interaction

$$\frac{e}{2M} i(p + p')^\nu (2\pi)^5 \delta^4(p - p' - k) \delta(P - P' - \kappa)$$

Four-particle interaction

$$\frac{-ie^2}{M} (2\pi)^5 g_{\mu\nu} \delta^4(k - k' - p' + p) \delta(-\kappa + \kappa' + P' - P)$$

## Matter propagator

$$G(x, \tau) = \int \frac{d^4k d\kappa}{(2\pi)^5} \frac{e^{i(k \cdot x - \kappa \tau)}}{\frac{1}{2M}k^2 - \kappa - i\epsilon} = i\theta(\tau) \int \frac{d^4k}{(2\pi)^4} e^{i(k \cdot x - \frac{1}{2M}k^2 + i\epsilon)}$$

Retarded causality  $\rightarrow$  no matter loops

Feynman: extract stationary eigenstate of mass operator  $-i\hbar\partial_\tau$

$$\int_{-\infty}^{\infty} d\tau e^{-i(m^2/2M)\tau} G(x, \tau) = \int \frac{d^4k}{(2\pi)^4} \frac{e^{ik \cdot x}}{\frac{1}{2M}(k^2 + m^2) - i\epsilon} = 2M \Delta_F(x)$$

## Photon propagator

Interaction kernel  $\Phi(\tau) \rightarrow$  cut-off  $(1 + \lambda^2 K^2)^{-1}$

One divergent photon loop renormalized by shifting mass term  $i\psi^* \partial_\tau \psi$

$$G_0^{(2)}(p) \left( (2\pi)^5 \frac{ie_0^2}{M} \right)^2 \frac{1}{\lambda} \int d^4q dQ \frac{-i}{q^2 + Q^2 - i\epsilon} \frac{1}{1 + \lambda^2 Q^2} G_0^{(2)}(p)$$

# Off-Shell Quantum Electrodynamics

## Elastic Scattering

### Identical particles

$$m_1^{\text{in}} = m_2^{\text{in}} = M$$

### Mass exchange

$$\Delta m = m_1^{\text{out}} - m_2^{\text{out}}$$

Shifts pole from  $0^\circ$

Not fixed kinematically

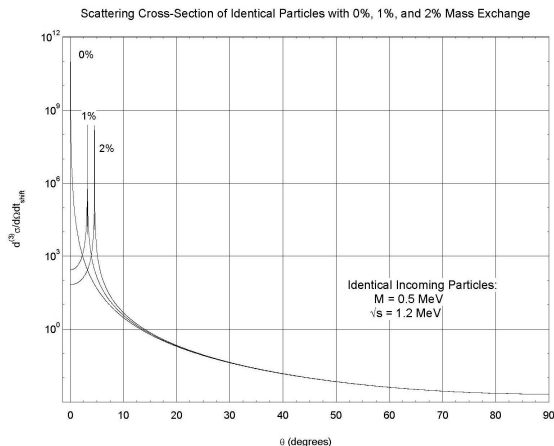
Restricted by cutoff

$$\Delta m < \text{photon mass}$$

$$\lesssim \frac{\hbar}{c^2} \frac{1}{\lambda}$$

### Recover Klein-Gordon cross-section

$$\Delta m = 0 \text{ or } \sqrt{s} \gg M$$



# General Relativity with $\tau$ -Evolution

How to find  $g_{\mu\nu}(x) \rightarrow g_{\mu\nu}(x, \tau)$  — Land 2018 - 2021

## Evolving spacetime

Block universe  $\mathcal{M}(\tau)$  evolves to block universe  $\mathcal{M}(\tau + d\tau)$

Spacetime metric  $g_{\mu\nu}(x, \tau)$  should evolve to  $g_{\mu\nu}(x, \tau + d\tau)$

Hint from electrodynamics: could evolve as  $g_{\alpha\beta}(x, \tau)$

Must break 5D symmetry — no geodesic equation for  $\dot{x}^5$

Leads to  $\frac{dK}{d\tau} \neq 0 \Rightarrow$  particle mass not conserved

Linearized system  $\rightarrow$  post-Newtonian model with mass acceleration

## 4+1 formalism (generalizes ADM formalism)

Find  $\mathcal{M}$  by foliation of  $\mathcal{M}_5 = \mathcal{M} \times \tau$ -line

Parameterize  $g_{\alpha\beta}(x, \tau)$  as  $\gamma_{\mu\nu}(x, \tau)$ , lapse, shift

Einstein equations  $\rightarrow$  evolution equations for  $\gamma_{\mu\nu}(x, \tau)$  and constraints

Components  $g_{5\beta}(x, \tau)$  must be small

Preserve 5D geometry of Ricci tensor — break matter symmetry to 4+1



# General Relativity with $\tau$ -Evolution

Geometry, evolution, and trajectory

## Geometry

Neighboring events in spacetime  $\mathcal{M}$  (instantaneous displacement)

$$\text{Interval } \delta x^2 = \gamma_{\mu\nu} \delta x^\mu \delta x^\nu = (x_2 - x_1)^2$$

Invariance of interval: geometrical statement about  $\mathcal{M}$

## Evolution

$$\mathcal{M}(\tau) \xrightarrow{\text{Hamiltonian } K \text{ generates } \tau\text{-evolution}} \mathcal{M}(\tau + d\tau)$$

Symmetries: dynamical statements about  $K$

## Trajectory in $\delta x$ and $\delta \tau$

Neighboring events  $X_1 = (x_1, c_5 \tau_1)$       $X_2 = (x_2, c_5 (\tau_1 + \delta \tau))$

$$\text{Distance } X_2 - X_1 = \left( \delta x + \frac{dx(\tau)}{d\tau} \delta \tau, c_5 \delta \tau \right)$$

$$\text{Interval } dX^2 = \left( \delta x + \frac{dx(\tau)}{d\tau} \delta \tau \right)^2 + \sigma c_5^2 \delta \tau^2 = g_{\alpha\beta}(x, \tau) \delta x^\alpha \delta x^\beta$$

# General Relativity with $\tau$ -Evolution

Break 5D symmetry  $\rightarrow$  4D+1

Constrain non-dynamical scalar  $x^5 \equiv c_5\tau$

$$L = \frac{1}{2}Mg_{\alpha\beta}(x, \tau)\dot{x}^\alpha\dot{x}^\beta = \frac{1}{2}Mg_{\mu\nu}\dot{x}^\mu\dot{x}^\nu + Mc_5 g_{\mu 5}\dot{x}^\mu + \frac{1}{2}Mc_5^2 g_{55}$$

Euler-Lagrange  $\rightarrow$  geodesic equations

$$0 = \frac{D\dot{x}^\alpha}{D\tau} = \ddot{x}^\alpha + \Gamma_{\beta\gamma}^\alpha\dot{x}^\beta\dot{x}^\gamma \rightarrow \begin{cases} \ddot{x}^\mu + \Gamma_{\lambda\sigma}^\mu\dot{x}^\lambda\dot{x}^\sigma + 2c_5\Gamma_{5\sigma}^\mu\dot{x}^\sigma + c_5^2\Gamma_{55}^\mu = 0 \\ \dot{x}^5 = \dot{c}_5 \equiv 0 \end{cases}$$

Hamiltonian

$$K = p_\mu\dot{x}^\mu - L = \frac{1}{2}Mg_{\mu\nu}\dot{x}^\mu\dot{x}^\nu - \frac{1}{2}Mc_5^2 g_{55} = L - Mc_5^2 g_{55}$$

$$\frac{dK}{d\tau} = -\frac{1}{2}M\dot{x}^\mu\dot{x}^\nu\frac{\partial g_{\mu\nu}}{\partial\tau} - \frac{1}{2}Mc_5^2\frac{\partial g_{55}}{\partial\tau} \quad \text{particle mass not generally conserved}$$

# General Relativity with $\tau$ -Evolution

## Matter

### Non-thermodynamic dust

Number of events per spacetime volume =  $n(x, \tau)$

Particle mass density =  $\rho(x, \tau) = Mn(x, \tau)$

5-component event current =  $j^\alpha(x, \tau) = \rho(x, \tau)\dot{x}^\alpha(\tau) = Mn(x, \tau)\dot{x}^\alpha(\tau)$

Matter current is vector  $j^\mu(x, \tau)$  and scalar  $j^5(x, \tau)$

Continuity equation

$$\nabla_\alpha j^\alpha = \nabla_\mu j^\mu + \frac{1}{c^5} \partial_\tau \rho c^5 = \nabla_\mu j^\mu + \partial_\tau \rho$$

### Mass-energy-momentum tensor

$$\nabla_\beta T^{\alpha\beta} = 0 \quad T^{\alpha\beta} = \rho \dot{x}^\alpha \dot{x}^\beta \quad \longrightarrow \quad \begin{cases} T^{\mu\nu} = \rho \dot{x}^\mu \dot{x}^\nu \\ T^{5\beta} = c_5 j^\beta \end{cases}$$

# General Relativity with $\tau$ -Evolution

Weak Field Approximation

Einstein equations in 5D (unmodified)

$$G_{\alpha\beta} = R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}$$

Small perturbation to flat metric

$$g_{\alpha\beta} = \eta_{\alpha\beta} + h_{\alpha\beta} \longrightarrow \partial_\gamma g_{\alpha\beta} = \partial_\gamma h_{\alpha\beta} \quad (h_{\alpha\beta})^2 \approx 0 \quad h \simeq \eta^{\alpha\beta}h_{\alpha\beta}$$

Define  $\bar{h}_{\alpha\beta} = h_{\alpha\beta} - \frac{1}{2}\eta_{\alpha\beta}h$  and impose gauge condition  $\partial_\lambda \bar{h}^{\alpha\lambda} = 0$

$$\text{Einstein equations: } \frac{16\pi G}{c^4}T_{\alpha\beta} = -\partial^\gamma \partial_\gamma \bar{h}_{\alpha\beta} = -\left(\partial^\mu \partial_\mu + \frac{\eta_{55}}{c_5^2} \partial_\tau^2\right) \bar{h}_{\alpha\beta}$$

Solve using leading term in Green's function for 5D wave equation

$$\bar{h}_{\alpha\beta}(x, \tau) = \frac{4G}{c^4} \int d^3x' \frac{T_{\alpha\beta}\left(t - \frac{|\mathbf{x}-\mathbf{x}'|}{c}, \mathbf{x}', \tau\right)}{|\mathbf{x}-\mathbf{x}'|}$$

# General Relativity with $\tau$ -Evolution

Arbitrarily evolving particle

## Evolving spacetime event

$$X^\alpha(\tau) = (X^\mu(\tau), c_5\tau) \text{ with notation } \zeta^\alpha(\tau) = \frac{1}{c}u^\alpha(\tau) = \frac{1}{c}\dot{X}^\alpha$$

5D interval conserved

$$\frac{d}{d\tau}u^2 = 2u_\alpha \frac{Du^\alpha}{D\tau} = 0$$

Choose value in rest frame:  $u = (c, 0, 0, 0, c_5)$

$$u^2 = c^2\zeta^2 = -c^2 + \sigma c_5^2 \longrightarrow \zeta^2 = -1 + \sigma\zeta_5^2 \approx -1$$

## Spacetime particle density

$$\rho(x, \tau) = \rho(x - X(\tau))$$

## Mass-energy-momentum tensor

$$T^{\alpha\beta} = m\rho(x, \tau) \dot{X}^\alpha \dot{X}^\beta = m\rho(x, \tau) u^\alpha u^\beta = mc^2\rho(x, \tau) \zeta^\alpha \zeta^\beta$$

# General Relativity with $\tau$ -Evolution

First order solution in linearized gravity

Metric from leading term in Green's function

$$\bar{h}_{\alpha\beta}(x, \tau) = \mathcal{G}[T_{\alpha\beta}] = \frac{4Gm}{c^2} \xi_\alpha \xi_\beta \int d^3x' \frac{\rho\left(t - \frac{|\mathbf{x}-\mathbf{x}'|}{c}, \mathbf{x}', \tau\right)}{|\mathbf{x}-\mathbf{x}'|}$$

Full metric from trace

$$\eta^{\alpha\beta} \bar{h}_{\alpha\beta} = \eta^{\alpha\beta} \left( h_{\alpha\beta} - \frac{1}{2} \eta_{\alpha\beta} h \right) = \frac{2-D}{2} h \longrightarrow h_{\alpha\beta} = \bar{h}_{\alpha\beta} - \frac{1}{D-2} \eta_{\alpha\beta} \bar{h}$$

In  $D = 5$  for static source  $\xi = (1, \mathbf{0}, c_5/c)$

$$h_{00} = \frac{2}{3} \mathcal{G}[T_{00}] \qquad h_{05} = \frac{2}{3} \sigma \xi_5 \mathcal{G}[T_{00}]$$

$$h_{ij} = \frac{1}{3} \delta_{ij} \mathcal{G}[T_{00}] \qquad h_{55} = \frac{1}{3} \sigma \mathcal{G}[T_{00}]$$

Expect:  $h_{00} \sim h_{ii}$  and  $h_{55} \ll h_{00}$  for consistency with standard GR

# General Relativity with $\tau$ -Evolution

Modified field equation

Modified  $\eta_{\alpha\beta}$  explicitly breaks 5D symmetry in presence of matter

$$R_{\alpha\beta} - \frac{1}{2}\bar{\eta}_{\alpha\beta}R = \frac{8\pi G}{c^4}T_{\alpha\beta} \quad \bar{\eta}_{\mu\nu} = \eta_{\mu\nu} \quad \bar{\eta}_{5\alpha} = 0$$

Trace reversed linearized Einstein equation

$$R_{\mu\nu} = -\frac{1}{2}\partial^\gamma\partial_\gamma h_{\mu\nu} = \frac{8\pi G}{c^4}\left(T_{\mu\nu} - \frac{1}{2}\bar{\eta}_{\mu\nu}\bar{T}\right)$$

$$R_{5\alpha} = -\frac{1}{2}\partial^\gamma\partial_\gamma h_{5\alpha} = \frac{8\pi G}{c^4}T_{5\alpha}$$

Modified solution

$$h_{00} = \frac{1}{2}\mathcal{G}[T_{00}] \quad h_{05} = \sigma\zeta_5\mathcal{G}[T_{00}]$$

$$h_{ij} = \frac{1}{2}\delta_{ij}\mathcal{G}[T_{00}] \quad h_{55} = \sigma\zeta_5^2\mathcal{G}[T_{00}]$$

# General Relativity with $\tau$ -Evolution

Static source

For a source  $X(\tau) = (c\tau, \mathbf{0})$  and taking  $\rho(\mathbf{x}) = \delta^3(\mathbf{x})$

$$g_{\mu\nu} = \left( -1 + \frac{2Gm}{c^2 r}, \left( 1 + \frac{2Gm}{c^2 r} \right) \delta_{ij} \right) \\ \approx \left( - \left( 1 - \frac{2Gm}{c^2 r} \right), \left( 1 - \frac{2Gm}{c^2 r} \right)^{-1} \delta_{ij} \right)$$

Consistent with spherically symmetric Schwarzschild metric

$$g_{55} = \sigma \left( 1 + \sigma \zeta_5^2 \left( \frac{2Gm}{c^2 r} \right) \right) = \sigma + o \left( \frac{c_5^2}{c^2} \right)$$

Approach distinguishes evolution from geometry

Preserves 5D symmetry of Ricci tensor  $R_{\alpha\beta}$  (geometry)

Breaks 5D symmetry in relationship between  $R_{\alpha\beta}$  and  $T_{\alpha\beta}$  (physics)



# General Relativity with $\tau$ -Evolution

Perturbation by varying source mass

Point source in co-moving frame:  $\dot{T} \neq 1 \Rightarrow$  mass acceleration

$$X = (cT(\tau), \mathbf{0}) \quad \dot{T} = 1 + \alpha(\tau)/2 \quad \alpha^2 \approx 0$$

Mass distribution

$$M(x, \tau) = m \delta^3(\mathbf{x}) \rho(t - T(\tau))$$

$$T^{00} = M(x, \tau) c^2 \dot{T}^2 \quad T^{\alpha i} = 0 \quad T^{55} = \frac{c_5^2}{c^2} T^{00} \approx 0$$

Metric and connection perturbations from Green's function

$$\bar{h}^{00}(x, \tau) = \frac{4GM}{c^2 R} \dot{T}^2 \quad \bar{h}^{\alpha i}(x, \tau) = 0 \quad \bar{h}^{55}(x, \tau) = \frac{c_5^2}{c^2} \bar{h}^{00} \approx 0$$

$$\Gamma_{00}^{\mu} = -\frac{1}{2} \eta^{\mu\nu} \partial_{\nu} h_{00} \quad \Gamma_{50}^{\mu} = \frac{1}{2c_5} \eta^{\mu 0} \partial_{\tau} h_{00}$$

# General Relativity with $\tau$ -Evolution

Test particle in spherical coordinates

Acceleration in time coordinate (neglecting velocity of test particle)

$$\ddot{t} = (\partial_\tau h_{00}) \dot{t} + \dot{\mathbf{x}} \cdot (\nabla h_{00}) \dot{t}^2 \approx \frac{2GM}{c^2 R} \left( 1 + \frac{\alpha(\tau)}{2} \right) \dot{\alpha}(\tau) \dot{t}$$

Angular and radial equations

Put  $\theta = \pi/2$

$$\ddot{\mathbf{x}} = \frac{c^2}{2} (\nabla h_{00}) \dot{t}^2 \longrightarrow \begin{cases} 2\dot{R}\dot{\phi} + R\ddot{\phi} = 0 \longrightarrow \dot{\phi} = \frac{L}{MR^2} \\ \ddot{R} - \frac{L^2}{M^2 R^3} = -\frac{GM}{R^2} \dot{t}^2 \dot{T}^2 \end{cases}$$

Angular momentum conserved

Post-Newtonian term on RHS of radial equation

$\alpha = 0 \Rightarrow \dot{T} = 1 \Rightarrow \dot{t}^2 \dot{T}^2 = 1$  recovers Newtonian gravitation

# General Relativity with $\tau$ -Evolution

Solution to equations of motion

Neglecting  $\dot{R}/c \ll 1$  and  $\partial_\tau \rho \approx 0$

$$i = \exp \left[ \frac{2GM}{c^2 R} \left( \alpha + \frac{1}{4} \alpha^2 \right) \right] \longrightarrow i^2 \dot{T}^2 \simeq 1 + \frac{1}{2} \left( 1 + \frac{2GM}{c^2 R} \right) \alpha$$

Using solution to  $t$  equation in radial equation

$$\frac{d}{d\tau} \left\{ \frac{1}{2} \dot{R}^2 + \frac{1}{2} \frac{L^2}{M^2 R^2} - \frac{GM}{R} \left( 1 + \frac{1}{2} \alpha(\tau) \right) \right\} = -\frac{GM}{2R} \frac{d}{d\tau} \alpha(\tau)$$

LHS is  $\frac{d}{d\tau}$  (particle Hamiltonian) =  $\frac{d}{d\tau}$  (particle mass)

$\dot{T} \neq 1 \Rightarrow$  energy change without in source rest frame  $\Rightarrow$  mass change

Mass transfer across spacetime

Source transfers mass to perturbed metric field  $h_{\alpha\beta}$

Test particle absorbs mass from  $h_{\alpha\beta} \longrightarrow$  particle mass not conserved

$\alpha = 0$  recovers mass conservation

# General Relativity with $\tau$ -Evolution

Cosmological term

Modified field equation

$$R_{\alpha\beta} - \frac{1}{2}\bar{\eta}_{\alpha\beta}R = \frac{8\pi G}{c^4}T_{\alpha\beta} \quad \longleftrightarrow \quad R_{\alpha\beta} = \frac{8\pi G}{c^4} \left( T_{\alpha\beta} - \frac{1}{2}\bar{\eta}_{\alpha\beta}T \right)$$

Trace using  $\bar{\eta}^{\alpha\beta}$  ( $\bar{\eta}_{\mu\nu} = \eta_{\mu\nu}$ ,  $\bar{\eta}_{5\alpha} = 0$ )

$$R - \frac{4}{2}R = \frac{8\pi G}{c^4}\eta^{\mu\nu}T_{\mu\nu} \quad \longrightarrow \quad -R = \frac{8\pi G}{c^4}(T - \sigma T_{55})$$

Write trace reversed Einstein equation

$$R_{\alpha\beta} + \bar{\eta}_{\alpha\beta}\Lambda = \frac{8\pi G}{c^4} \left( T_{\alpha\beta} - \frac{1}{2}\bar{\eta}_{\alpha\beta}T \right)$$

Identifying the mass density  $\Lambda$  as a cosmological term

$$\Lambda = -\frac{8\pi G}{c^4}\sigma T_{55} \quad T_{55} \sim \frac{c_5^2}{c^2}T_{00} \ll T_{00}$$

# General Relativity with $\tau$ -Evolution

4+1 formalism for metric evolution

## Extension of 3+1 / ADM formalism

Decompose  $\mathcal{M}$  into spacelike hypersurface + normal time direction

Einstein equations  $\longrightarrow$  6  $t$ -evolution equations for  $\gamma_{ij}$  + 4 constraints

## Construct $\mathcal{M}_5 = \mathcal{M} \times R$ with coordinates $X = (x, c_5\tau)$

Admixture of 4D spacetime geometry and  $\tau$ -evolution

In flat pseudo-spacetime  $g_{\alpha\beta} \rightarrow \eta_{\alpha\beta} = \text{diag}(-1, 1, 1, 1, \sigma)$  where  $\sigma = \pm 1$

## External $\tau \longrightarrow$ natural foliation

Decompose  $\mathcal{M}_5$  into spacetime hypersurface  $\Sigma_\tau$  + normal  $\tau$  direction

5D metric  $g_{\alpha\beta} \longrightarrow \{ \gamma_{\mu\nu}(x, \tau), \text{lapse } N, \text{ and (tangent) shift } N^\mu \}$

Einstein equations  $\longrightarrow$  10  $\tau$ -evolution equations for  $\gamma_{\mu\nu}$  + 5 constraints

# General Relativity with $\tau$ -Evolution

## Foliation

### 4D hypersurface

$$\Sigma_{\tau_0} = \{X \in \mathcal{M}_5 \mid S(X) = 0\} \text{ where } S(X) = X^5/c_5 - \tau_0$$

### Rank 4 Jacobian

$$E_{\mu}^{\alpha} = \left( \frac{\partial X^{\alpha}}{\partial x^{\mu}} \right)_{\tau_0} \longrightarrow E_{\mu} = \partial_{\mu} = \partial/\partial x^{\mu} \text{ as basis for tangent space of } \Sigma_{\tau_0}$$

### Unit normal to $\Sigma_{\tau_0}$

$$n_{\alpha} = \sigma |g^{55}|^{-1/2} \partial_{\alpha} S(X) \longrightarrow \begin{cases} n \cdot E_{\mu} = n_{\alpha} E_{\mu}^{\alpha} = 0 \\ n^2 = g^{\alpha\beta} n_{\alpha} n_{\beta} = \sigma \end{cases}$$

### Induced metric on $\Sigma_{\tau_0}$

$$ds^2 = g_{\alpha\beta} dX^{\alpha} dX^{\beta} = g_{\alpha\beta} \frac{\partial X^{\alpha}}{\partial x^{\mu}} \frac{\partial X^{\beta}}{\partial x^{\nu}} dx^{\mu} dx^{\nu} = g_{\alpha\beta} E_{\mu}^{\alpha} E_{\nu}^{\beta} = \gamma_{\mu\nu} dx^{\mu} dx^{\nu}$$

# General Relativity with $\tau$ -Evolution

## Decomposition of the Metric

### Parameterize time evolution

$$\tau \longrightarrow \tau + \delta\tau \Rightarrow X^\alpha \longrightarrow X^\alpha + \left(\frac{\partial X^\alpha}{\partial \tau}\right)_{x_0} \delta\tau = X^\alpha + \left(Nn^\alpha + N^\mu E_\mu^\alpha\right) \delta\tau$$

### Under spacetime displacement

$$X^\alpha \longrightarrow X^\alpha + \left(\frac{\partial X^\alpha}{\partial x^\mu}\right)_{\tau_0} \delta x^\mu = X^\alpha + E_\mu^\alpha \delta x^\mu$$

<p><math>N</math>: lapse  <math>N^\mu</math>: shift</p>
---

### 5D displacement and interval

$$dX^\alpha = Nn^\alpha c_5 d\tau + E_\mu^\alpha (N^\mu c_5 d\tau + dx^\mu) \quad ds^2 = g_{\alpha\beta} dX^\alpha dX^\beta$$

Decomposition of metric using  $n^2 = \sigma$       $n_\alpha E_\mu^\alpha = 0$       $\gamma_{\mu\nu} = g_{\alpha\beta} E_\mu^\alpha E_\nu^\beta$

$$g_{\alpha\beta} = \begin{bmatrix} \gamma_{\mu\nu} & N_\mu \\ N_\mu & \sigma N^2 + \gamma_{\mu\nu} N^\mu N^\nu \end{bmatrix} \quad g^{\alpha\beta} = \begin{bmatrix} \gamma^{\mu\nu} + \sigma \frac{1}{N^2} N^\mu N^\nu & -\sigma \frac{1}{N^2} N^\mu \\ -\sigma \frac{1}{N^2} N^\mu & \sigma \frac{1}{N^2} \end{bmatrix}$$

# General Relativity with $\tau$ -Evolution

Decomposition of the 5D curvature

Projector onto tangent 4D hypersurface  $\Sigma_{\tau_0}$

$$P_{\alpha\beta} = g_{\alpha\beta} - \sigma n_\alpha n_\beta \quad n_\alpha = \text{unit normal}$$

Projected covariant derivative

$$\text{For } V^\beta \in \mathcal{M}_5 \quad D_\alpha V_\beta = P_\alpha^\gamma P_\beta^\delta \nabla_\gamma V_\delta$$

Projected curvature

$$[D_\alpha, D_\beta] V_\perp^\gamma = \bar{R}_{\delta\alpha\beta}^\gamma V_\perp^\delta$$

Extrinsic curvature: evolution of the unit normal

$$K_{\alpha\beta} = -P_\alpha^\gamma P_\beta^\delta \nabla_\delta n_\gamma$$

Express 4D Ricci tensor in terms of  $\bar{R}_{\mu\nu}$  and  $K_{\mu\nu}$

Lie derivatives of  $\gamma_{\mu\nu}$  and  $K_{\mu\nu} \longrightarrow$  integrable first order PDEs



# General Relativity with $\tau$ -Evolution

Differential Equations in 4+1 Formalism

## Evolution equation for spacetime metric

$$\frac{1}{c^5} \mathcal{L}_\tau \gamma_{\mu\nu} - \mathcal{L}_N \gamma_{\mu\nu} = -2NK_{\mu\nu}$$

$\mathcal{L}_\tau$ : Lie derivative in  $\tau$  direction  
 $K_{\mu\nu}$ : Extrinsic curvature  
 $S_{\mu\nu}$ : Spacetime projection of  $T_{\alpha\beta}$   
 $\kappa$ : Mass density  $T_{55}$   
 $R_{\mu\nu}$ : Projection of Ricci tensor

## Evolution equation for extrinsic curvature

$$\left( \frac{1}{c^5} \mathcal{L}_\tau - \mathcal{L}_N \right) K_{\mu\nu} = -D_\mu D_\nu N + N \left\{ -\sigma \bar{R}_{\mu\nu} + K K_{\mu\nu} - 2K_\mu^\lambda K_{\nu\lambda} + \sigma \frac{8\pi G}{c^4} \left[ S_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} (S + \sigma \kappa) \right] \right\}$$

## Hamiltonian Constraint

$$\bar{R} - \sigma \left( K^2 - K^{\mu\nu} K_{\mu\nu} \right) = -\sigma \frac{16\pi G}{c^4} \kappa$$

## Momentum Constraint

$$D_\mu K_\nu^\mu - D_\nu K = \frac{8\pi G}{c^4} p_\nu$$

# General Relativity with $\tau$ -Evolution

Decomposed metric for linearized theory

## Linearized metric

$$\|g_{\alpha\beta}\| = \begin{bmatrix} \gamma_{\mu\nu} & N_\mu \\ N_\mu & \sigma N^2 + \gamma_{\mu\nu} N^\mu N^\nu \end{bmatrix} = \begin{bmatrix} \eta_{\mu\nu} + h_{\mu\nu} & h_{\mu 5} \\ h_{\mu 5} & \eta_{55} + h_{55} \end{bmatrix}$$

$$\|g^{\alpha\beta}\| = \begin{bmatrix} \gamma^{\mu\nu} + \sigma \frac{1}{N^2} N^\mu N^\nu & -\sigma \frac{1}{N^2} N^\mu \\ -\sigma \frac{1}{N^2} N^\mu & \sigma \frac{1}{N^2} \end{bmatrix} \approx \begin{bmatrix} \eta^{\lambda\nu} - h^{\mu\nu} & -\sigma h_5^\mu \\ -\sigma h_5^\mu & \sigma (1 - \sigma h_{55}) \end{bmatrix}$$

Leads to

$$N = \frac{1}{\sqrt{1 - \sigma h_{55}}} \approx 1 + \frac{1}{2} \sigma h_{55} \quad N_\mu = h_{5\mu}$$

$$n_\alpha = \sigma \left( 1 + \frac{1}{2} \sigma h_{55} \right) \delta_\alpha^5 \quad n^\alpha = -h_5^\mu \delta_\mu^\alpha + \left( 1 - \frac{1}{2} \sigma h_{55} \right) \delta_5^\alpha$$

# General Relativity with $\tau$ -Evolution

Linearized evolution equation for  $\gamma_{\mu\nu}$

By direct calculation

$$K_{\alpha\beta} = -P_{\alpha}^{\gamma} P_{\beta}^{\delta} \nabla_{\delta} \left[ \sigma \left( 1 + \frac{1}{2} \sigma h_{55} \right) \delta_{5}^{\delta} \right] = \sigma \Gamma_{\mu\nu}^5$$

Evolution equation for metric in 4+1 decomposition

$$-\frac{1}{2} \left( \frac{1}{c_5} \partial_{\tau} - \mathcal{L}_{\mathbf{N}} \right) \gamma_{\mu\nu} = NK_{\mu\nu}$$

Discarding terms  $(h_{\alpha\beta})^2 \approx 0$

$$\mathcal{L}_{\mathbf{N}} \gamma_{\mu\nu} = D_{\mu} N_{\nu} + D_{\nu} N_{\mu} \approx \partial_{\mu} N_{\nu} + \partial_{\nu} N_{\mu} = \partial_{\mu} h_{5\nu} + \partial_{\nu} h_{5\mu}$$

Evolution equation becomes

$$-\frac{1}{2} \left( \partial_5 \gamma_{\mu\nu} - \partial_{\mu} h_{5\nu} - \partial_{\nu} h_{5\mu} \right) = NK_{\mu\nu} \approx K_{\mu\nu}$$

LHS =  $\sigma \Gamma_{\mu\nu}^5 \longrightarrow$  automatically satisfied

# General Relativity with $\tau$ -Evolution

Linearized 4+1 evolution equations

## Bianchi identity for linearized theory

$$\nabla_\alpha G^{\alpha\beta} = \nabla_\alpha \left( R^{\alpha\beta} - \frac{1}{2} \bar{\eta}^{\alpha\beta} R \right) = \partial_\alpha \left( R^{\alpha\beta} - \frac{1}{2} \bar{\eta}^{\alpha\beta} R \right) + o \left( h_{\alpha\beta}^2 \right) = 0$$

Rearranged as  $\frac{1}{c^5} \partial_\tau G^{5\beta} = -\partial_\mu G^{\mu\beta} + o \left( h_{\alpha\beta}^2 \right)$

RHS must contain terms in  $g_{\alpha\beta}$ ,  $\partial_\tau g_{\alpha\beta}$ , and  $\partial_\tau^2 g_{\alpha\beta}$

$G^{5\beta}$  contains no second order  $\tau$ -derivatives of  $g_{\alpha\beta}$

## Constraints

Initial conditions for second order PDE are  $g_{\alpha\beta}$ ,  $\partial_\tau g_{\alpha\beta}$ ,  $T_{\alpha\beta}$

$G^{5\beta}$  field equation is relationship among initial conditions

Five constraint equations: propagate without evolving

$$G_{5\beta} = R_{5\beta} - \frac{1}{2} \bar{\eta}_{5\beta} R = \frac{8\pi G}{c^4} T_{5\beta}$$

# General Relativity with $\tau$ -Evolution

Decomposing linearized Ricci tensor

Separate components of 5D Ricci tensor

$$R_{\alpha\beta}^{(5)} = \frac{1}{2} \left( \partial_\alpha \partial^\lambda h_{\lambda\beta} + \partial_\beta \partial^\sigma h_{\alpha\sigma} - \partial^\lambda \partial_\lambda h_{\alpha\beta} - \partial_\alpha \partial_\beta \bar{\eta}^{\lambda\sigma} h_{\lambda\sigma} \right. \\ \left. + \partial_\alpha \partial^5 h_{5\beta} + \partial_\beta \partial^5 h_{\alpha 5} - \partial^5 \partial_5 h_{\alpha\beta} - \partial_\alpha \partial_\beta \bar{\eta}^{55} h_{55} \right)$$

Spacetime components

$$R_{\mu\nu}^{(5)} = R_{\mu\nu}^{(4)} + \sigma \partial_5 \underbrace{\frac{1}{2} (\partial_\mu h_{5\nu} + \partial_\nu h_{\mu 5} - \partial_5 h_{\mu\nu})}_{K_{\mu\nu}} - \frac{1}{2} \partial_\mu \partial_\nu \bar{\eta}^{55} h_{55}$$

$$\text{4D Ricci tensor } R_{\mu\nu}^{(4)} = \frac{1}{2} (\partial_\mu \partial^\lambda h_{\lambda\nu} + \partial_\nu \partial^\sigma h_{\mu\sigma} - \partial^\lambda \partial_\lambda h_{\mu\nu} - \partial_\mu \partial_\nu \eta^{\lambda\sigma} h_{\lambda\sigma})$$

5 components

$$R_{5\beta} = \frac{1}{2} \left( \partial_5 \partial^\lambda h_{\lambda\beta} + \partial_\beta \partial^\sigma h_{5\sigma} - \partial^\lambda \partial_\lambda h_{5\beta} - \partial_5 \partial_\beta \bar{\eta}^{\lambda\sigma} h_{\lambda\sigma} \right. \\ \left. + \partial_\beta \partial^5 h_{55} - \partial_\alpha \partial_\beta \bar{\eta}^{55} h_{55} \right)$$

# General Relativity with $\tau$ -Evolution

Linearized evolution equation for  $K_{\mu\nu}$

Spacetime components of 5D Ricci tensor

$$R_{\mu\nu}^{(5)} = R_{\mu\nu}^{(4)} + \sigma \partial_5 K_{\mu\nu} - \frac{1}{2} \partial_\mu \partial_\nu \bar{\eta}^{55} h_{55}$$

Spacetime part of modified field equation

$$R_{\mu\nu}^{(5)} = \frac{8\pi G}{c^4} \left( T_{\mu\nu} - \frac{1}{2} \bar{\eta}_{\mu\nu} \bar{T} \right)$$

Rearranging terms

$$\partial_5 K_{\mu\nu} = \frac{1}{2} \partial_\mu \partial_\nu \bar{\eta}^{55} h_{55} - \sigma R_{\mu\nu}^{(4)} + \sigma \frac{8\pi G}{c^4} \left( T_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \bar{T} \right)$$

Evolution equation for  $K_{\mu\nu}$  in 4+1 decomposition

$$\text{Under } (h_{\alpha\beta})^2 \approx 0 \quad \eta^{55} \longrightarrow \bar{\eta}^{55} = 0 \quad \bar{T} + \eta_{55} \kappa \longrightarrow \bar{T}$$

### Applying Lorenz condition

$$\partial^\lambda h_{\alpha\lambda} = \frac{1}{2}\partial_\alpha \bar{\eta}^{\lambda\sigma} h_{\lambda\sigma} + \frac{1}{2}\partial_\alpha \eta^{55} h_{55} - \partial^5 h_{\alpha 5}$$

and field equation

$$R_{5\alpha} = -\frac{1}{2}\partial^\gamma \partial_\gamma h_{5\alpha} = \frac{8\pi G}{c^4} T_{5\alpha}$$

confirms











$$R_{5\beta} = \frac{1}{2} \left( -\partial_5 \partial^5 h_{\beta 5} - \partial^\lambda \partial_\lambda h_{5\beta} \right) = -\frac{1}{2} \partial^\gamma \partial_\gamma h_{5\beta} = \frac{8\pi G}{c^4} T_{5\beta}$$

providing expressions for the non-dynamical shift and lapse  $N^\mu$  and  $N$

### Constraint: Gradient of extrinsic curvature

$$\partial^\mu K_{\mu\nu} = \frac{1}{2} \left( \partial^\mu \partial_\mu h_{5\nu} + \partial^\mu \partial_\nu h_{5\mu} - \partial_5 \partial^\mu h_{\mu\nu} \right) = \frac{1}{2} \partial^\gamma \partial_\gamma h_{5\nu} = \frac{8\pi G}{c^4} T_{5\nu}$$

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